



2184
#4

PATENT
Attorney Docket No.: ECD-0012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): LeVine, *et al.* Examiner: Not yet assigned
Serial No.: 09/960,610 Group Art Unit: 2184
Filed: September 21, 2001
Title: SYSTEM AND METHODS FOR PREVENTING UNAUTHORIZED USE
OF DIGITAL CONTENT

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner For Patents, Washington, DC 20231.

march 18, 2002
Date

Denise M. Donahue
Denise M/Donahue

Assistant Commissioner for Patents
Washington, DC 20231

RECEIVED

MAR 26 2002

Sir: TRANSMITTAL LETTER

Technology Center 2100

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Information Disclosure Statement;
2. Forms PTO-1449 and copies of cited references AA-DH ; and
3. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: March 18, 2002
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
Telephone: (617) 994-4900, Ext. 4902
Facsimile: (617) 742-7774

Anthony P. Onello, Jr.
Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



#4

PATENT
Attorney Docket No. ECD-0012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kushner, *et al.* Examiner: Not yet assigned
Serial No.: 09/960,610 Group Art Unit: 2184
Filed: September 21, 2001
Title: SYSTEM AND METHODS FOR PREVENTING UNAUTHORIZED
USE OF DIGITAL CONTENT

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

March 18, 2002
Date

Denise M. Donahue
Denise M. Donahue

RECEIVED

MAR 26 2002

Technology Center 2100

Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of the national stage in international application; or before mailing date of first office action on the merits, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Certification under 37 CFR 1.97(e), as checked below, or
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Certification under 37 CFR 1.97(e), as checked below, and
- ☐ a petition under 37 CFR 1.97(d) (2), and
- ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s): LeVine, *et al.*
U.S. Serial No.: 09/960,610

Certification Under 37 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☐ The "concise explanation" requirement under 36 CFR 1.98(a)(3) for reference _____ is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☐ submission of the enclosed International Search Report.
 - ☐ abstract.

It is requested the information disclosed herein be made of record in this application.

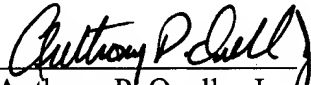
Applicant(s): LeVine, *et al.*
U.S. Serial No.: 09/960,610

Method of payment:

- ☐ Enclosed is a check in the amount of _____.
- ☐ Please charge Deposit Account of 50-1798 in the amount of _____.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: March 19, 2002
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
Telephone: (617) 994-4900, Ext. 4902
Facsimile: (617) 742-7774


Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicant

J:\ECD\0012\IDSform.wpd

Subst. Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number
(Optional)

ECD-0012

Application Number

09/960,610

Applicant

LeVine, et al.

Filing Date

09/21/01

Group Art Unit

2184

RECEIVED

MAR 26 2002

Technology Center 2100

U. S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,405,829	09/20/83	Rivest, et al.	178	22.1	12/14/77
	AB	4,864,616	09/05/89	Pond, et al.	380	25	10/15/87
	AC	4,888,800	12/19/89	Marshall, et al.	380	21	03/01/88
	AD	4,999,806	12/12/91	Chernow, et al.	364	900	09/04/87
	AE	5,021,997	06/04/91	Archie, et al.	364	900	09/29/86
	AF	5,027,396	06/25/91	Platteter, et al.	380	4	05/07/90
	AG	5,033,084	07/16/91	Beecher	380	4	04/02/90
	AH	5,081,675	01/14/92	Kittirutsunetorn	380	4	11/13/89
	AI	5,155,847	10/13/92	Kirouac, et al.	395	600	08/03/88
	AJ	5,166,886	11/24/92	Molnar, et al.	364	479	02/12/92
	AK	5,191,611	03/02/93	Lang	380	25	01/18/91

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							
	AQ							

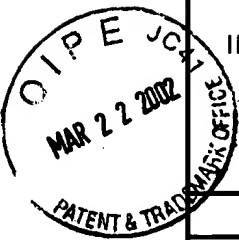
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

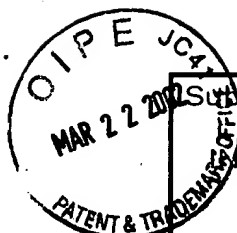
	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.





#4

Subst. Form PTO-1449
INFORMATION DISCLOSURE CITATION
IN AN APPLICATION
(Use several sheets if necessary)

Docket Number (Optional) ECD-0012	Application Number 09/969,610
Applicant LeVine, et al.	
Filing Date 09/21/01	Group Art Unit 2184

RECEIVED
MAR 26 2002
Technology Center 2100

U. S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	5,220,606	06/15/93	Greenberg	380	43	02/10/92
	BB	5,222,133	06/22/93	Chou, et al.	380	4	10/17/91
	BC	5,313,521	05/17/94	Torii, et al.	380	21	04/15/92
	BD	5,325,433	06/28/94	Torii, et al.	380	30	04/02/93
	BE	5,327,563	07/05/94	Singh	395	700	11/13/92
	BF	5,337,357	08/09/94	Chou, et al.	380	4	07/27/93
	BG	5,351,293	09/27/94	Michener, et al.	380	21	02/01/93
	BH	5,341,429	08/23/94	Stringer, et al.	380	23	12/04/92
	BI	5,351,297	09/27/94	Miyaji, et al.	380	28	04/16/93
	BJ	5,361,359	11/01/94	Tajalli, et al.	395	700	08/31/92
	BK	5,379,433	01/03/95	Yamagishi	395	725	03/16/93

FOREIGN PATENT DOCUMENTS

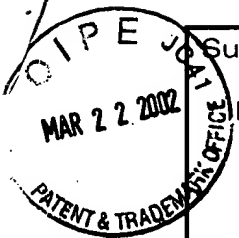
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	BL							
	BM							
	BN							
	BO							
	BP							
	BQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	BR	
	BS	
	BT	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.



#4

Subst. Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number
(Optional)

ECD-0012

Application Number

09/960,610

Applicant

LeVine, et al.

Filing Date

09/21/01

Group Art Unit

Technology Center 2100

RECEIVED

MAR 26 2002

U. S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CA	5,392,351	02/21/95	Hasebe, et al.	380	4	03/15/93
	CB	5,394,469	02/28/95	Nagel, et al.	380	4	02/18/94
	CC	5,414,850	05/09/95	Whiting	395	700	08/23/91
	CD	5,473,687	12/05/95	Lipscomb, et al.	380	4	12/29/93
	CE	5,490,216	02/06/96	Richardson, III.	380	4	09/21/93
	CF	5,497,423	03/05/96	Miyaji	380	30	06/20/94
	CG	5,509,074	04/16/96	Choudhury, et al.	380	23	01/27/94
	CH	5,511,123	04/23/96	Adams	380	29	08/04/94
	CI	5,524,072	06/04/96	Labaton, et al.	380	24	12/04/92
	CJ	5,532,920	07/02/96	Hartrick, et al.	364	419.1	12/28/94
	CK	5,555,304	09/10/96	Hasebe, et al.	380	4	11/18/94

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	CL							
	CM							
	CN							
	CO							
	CP							
	CQ							

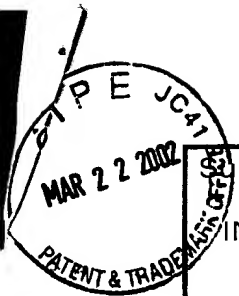
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	CR	
	CS	
	CT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.



#4

Subst. Form PTO-1449

Docket Number
(Optional)

ECD-0012

Application Number

RECEIVED
MAR 26 2002
Technology Center 2100INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Applicant

LeVine, et al.

Filing Date

09/21/01

Group Art Unit

U. S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	DA	5,557,346	09/17/96	Lipner, et al.	380	21	08/11/94
	DB	5,557,675	09/17/96	Schupak	380	10	05/10/94
	DC	5,592,549	01/07/97	Nagel, et al.	380	4	06/15/95
	DD	5,615,264	03/25/97	Kazmierczak, et al.	380	4	06/08/95
	DE	5,625,692	04/29/97	Herzberg, et al.	380	21	01/23/95
	DF	5,638,445	06/10/97	Spelman, et al.	380	21	09/19/95
	DG	6,052,780	04/18/00	Glover	713	193	07/03/97
	DH	6,185,686	02/06/01	Glover	713	190	04/07/00
	DI						
	DJ						
	DK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	DL							
	DM							
	DN							
	DO							
	DP							
	DQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	DR	
	DS	
	DT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.